

**CONFIRMATION OF VERIFICATION OF IDENTITY
PRIVATE INDIVIDUAL**

INTRODUCTION BY AN FCA REGULATED FIRM

1 DETAILS OF INDIVIDUAL (see explanatory notes below)

Full Name of Customer	
Address	
	Post Code
Previous Address (if address has changed in the last 3 months)	
	Post Code
Date of Birth	D D M M Y Y Y Y

2 CONFIRMATION

I/We confirm that:

- a. The information in section 1 above was obtained by me/us in relation to the customer;
- b. The customer does not appear on the HM Treasury UK Financial Consolidated Sanctions List
- c. The evidence I/we have obtained to verify the identity of the customer:

Please tick one

<input type="checkbox"/>	meets the standard evidence set out within the guidance for the UK Financial Sector issued by JMLSG; or
<input type="checkbox"/>	exceeds the standard evidence (written details of the further verification evidence taken are attached to this confirmation).

- d. Politically Exposed Persons (see explanatory notes below)

Please tick if applicable

<input type="checkbox"/>	Is to be classed as a Politically Exposed Person (details of which are provided below)
	Reason for classification as PEP:

3 DOCUMENTATION – CERTIFIED COPY MUST BE ATTACHED

Please tick

<input type="checkbox"/>	Individual Identification Options <ul style="list-style-type: none">- Passport- National or Employees Identity Card (with signature and photo)- Full UK Driving Licence (or UK Photo Card Licence)*
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Please tick

<input type="checkbox"/>	Address Identification Options (document must be no older than 3 months) <ul style="list-style-type: none">- Bank/Building Society/Mortgage Statement- Utility Bill (not mobile phone)- Local Authority Tax Demand- Full UK Driving Licence (or UK Photo Card Licence)*
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* Driving Licence cannot be used for both Individual and Address identification

Note: Please contact us if the above documents are not available so we can arrange alternatives.
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4 ACCOUNT FUNDING INFORMATION

Expected Opening Balance	£
Source of Funds	<input type="checkbox"/> Transfer from Registered Pension Scheme <input type="checkbox"/> Other – please clarify below:
Country of Origin of Funds	<input type="checkbox"/> United Kingdom <input type="checkbox"/> Other – please clarify below:
Likely Initial Transaction & Value	£
	Purpose:

Signed	Name								
Position	Date								
	<table border="1"> <tr> <td>D</td><td>D</td><td>M</td><td>M</td><td>Y</td><td>Y</td><td>Y</td><td>Y</td> </tr> </table>	D	D	M	M	Y	Y	Y	Y
D	D	M	M	Y	Y	Y	Y		
Full Name of Regulated Firm	FCA Firm Reference Number								

EXPLANATORY NOTES

- A separate confirmation must be completed for each customer (e.g. joint holders, trustee cases and joint life cases). Where a third party is involved, e.g. a payer of contributions who is different from the customer, the identity of that person must also be verified, and a confirmation provided.
- This form cannot be used to verify the identity of any customer that falls into one of the following categories:
 - those who are exempt from verification as being an existing client of the introducing firm prior to the introduction of the requirement for such verification;
 - those whose identity has not been verified by virtue of the application of a permitted exemption under the Money Laundering Regulations; or
 - those whose identity has been verified using the source of funds as evidence.
- This confirmation must carry an original signature, or an electronic equivalent.

POLITICALLY EXPOSED PERSONS (PEP)

A Politically Exposed Person is, broadly, a client who has the potential to abuse significant power for personal gain in any country. Examples of PEPs will include:

- a Senior UK or foreign Judge or member of the judiciary;
- a Senior UK or foreign Military, intelligence or law enforcement officer;
- a Senior UK or foreign Central or local government officer;
- a Senior UK or foreign Politician or government minister.